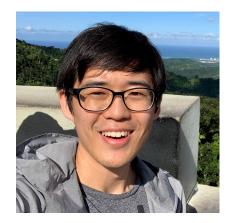
# An Empirical Study on Neural Keyphrase Generation







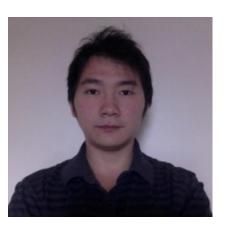
Rui Meng



Xingdi Yuan



Tong Wang



Sanqiang Zhao



Adam Trischler



Daqing He

# What's KPG & why it's unique?

#### TITLE Language-specific Models in Multilingual Topic Tracking

Leah S. Lar ley, Fangfang Feng, Margaret Connell, Victor Lavrenko Center for Intelligent Information Retrieval Department of Computer Science University of Massachusetts Amherst, MA 01003

{larkey, feng, connell, lavrenko}@cs.umass.edu

#### ABSTRACT

Topic tracking is complicated when the stories in the stream occur in multiple languages. Typi ally, researchers have trained only English topic models becau to the training stories have been provided in English. In track ag, non-English test stories are then machine translated into H glish to compare them with the topic models. We propose a *utive language hypothesis* stating that comparisons would be to be effective in the original language of the story. We first test nd support the hypothesis for story link detection. For topic try king the hypothesis implies that it should be preferable to build eparate language-specific topic models for incrementally building such native language topic models.

#### Categories and Subject Descriptors H.3.1 [ nformation Storage and Retrieval]: Content Analysis and Indusing – It lexing methods, Linguistic processing. Gene al Turms: Algorithms, Experimentation.

Keywords: classification, crosslingual, Arabic, TDT, topic tracking, multilingual

#### tion.

All TDT tasks have at their core a comparison of two text models. In story link detection, the simplest case, the comparison is between pairs of stories, to decide whether given pairs of stories are on the same topic or not. In topic tracking, the comparison is between a story and a topic, which is often represented as a centroid of story vectors, or as a language model covering several stories.

Our focus in this research was to explore the best ways to compare stories and topics when stories are in multiple languages. We began with the hypothesis that if two stories originated in the same language, it would be best to compare them in that language, rather than translating them both into another language for comparison. This simple assertion, which we call the *native language hypothesis*, is easily tested in the TDT story link detection task.

The picture gets more complex in a task like topic tracking, which begins with a small number of training stories (in English) to define each topic. New stories from a stream must be placed into these topics. The streamed stories originate in different languages, but are also available in English translation. The translations have been performed automatically by machine translation algorithms, and are inferior to manual translations. At the beginning of the stream, native language comparisons cannot be performed be-

#### Important concepts/entities in a document.

- Each phrase can have multiple words
  - Target is a list of multiple phrases (variable number of target sequences)

# What's KPG & why it's unique?

#### Language-specific Models in Multilingual Topic Tracking

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Topic tracking is complicated when the stories in the stream occur in multiple languages. Typically, researchers have trained only English topic models because the training stories have been provided in English. In tracking, non-English test stories are then machine translated into English to compare them with the topic models. We propose a *native language hypothesis* stating that comparisons would be more effective in the original language of the story. We first test and support the hypothesis for story link detection. For topic tracking the hypothesis implies that it should be preferable to build separate language-specific topic models for each language in the stream. We compare different methods of incrementally building such native language topic models.

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### Present (extractive) vs Absent (abstractive)

#### • One2One:

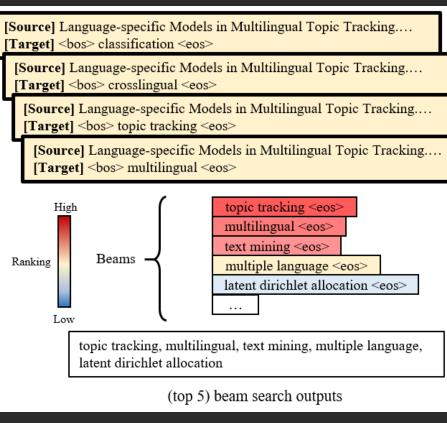
[Source] Language-specific Models in Multilingual Topic Tracking.... [Target] <bos> classification <eos>

[Source] Language-specific Models in Multilingual Topic Tracking.... [Target] <bos> crosslingual <eos>

[Source] Language-specific Models in Multilingual Topic Tracking.... [Target] <bos> topic tracking <eos>

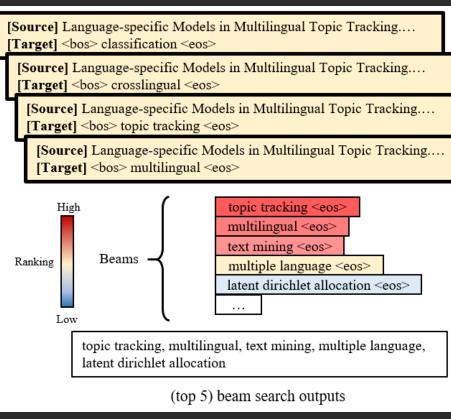
[Source] Language-specific Models in Multilingual Topic Tracking.... [Target] <bos> multilingual <eos>

### One2One:



Deep keyphrase generation. R Meng, S Zhao, S Han, D He, P Brusilovsky, Y Chi, 2017.

### • One2One:



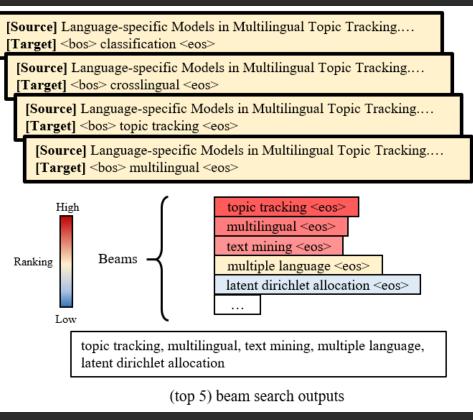
#### One2Seq:

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One size does not fit all: Generating and evaluating variable number of keyphrases. X Yuan, T Wang, R Meng, K Thaker, P Brusilovsky, D He, A Trischler, 2020.

### One2One:



#### One2Seq:

[Source] Language-specific Models in Multilingual Topic Tracking. Topic tracking is complicated when the stories in the stream occur in multiple languages....
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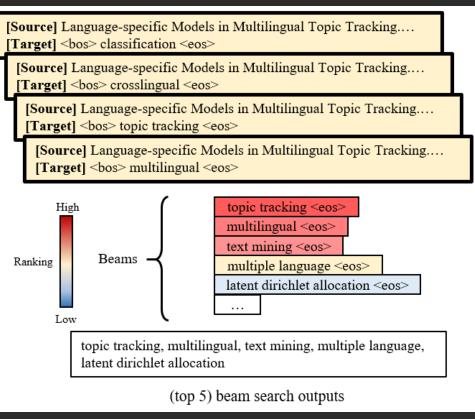
topic tracking <sep> text analysis <sep> text mining <eos> multilingual <sep> topic tracking <sep> crosslingual <eos> topic tracking <sep> classification <eos> multiple language classification <eos> topic model <sep> language text multiple <eos> ... topic tracking, text analysis, text mining, multilingual, crosslingual

(top 5) beam search outputs

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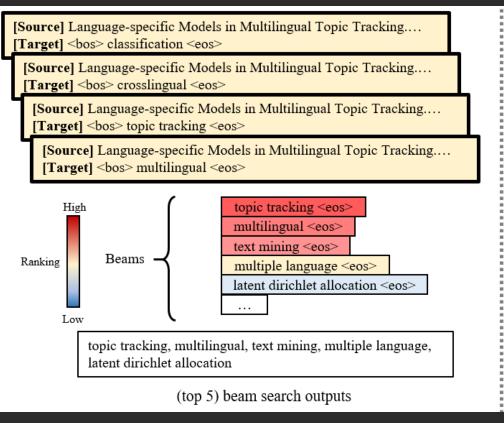
topic tracking < sep> text analysis <sep> te</sep>	xt mining <eos< th=""></eos<>									
multilingual <sep> topic tracking <sep> cr</sep></sep>	osslingual <eo< td=""></eo<>									
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topic tracking <sep> text analysis <sep> text mining <eos> multilingual <sep> topic tracking <sep> crosslingual <eos>

topic tracking <sep> classification <eos>

multiple language classification <eos>

topic model <sep> language text multiple <eos>

topic tracking, text analysis, text mining, multilingual, crosslingual

(top 5) beam search outputs

topic tracking <sep> classification <sep> crosslingual <eos>

topic tracking, classification, crosslingual

greedy decoding outputs

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. . .

# **Research Questions**

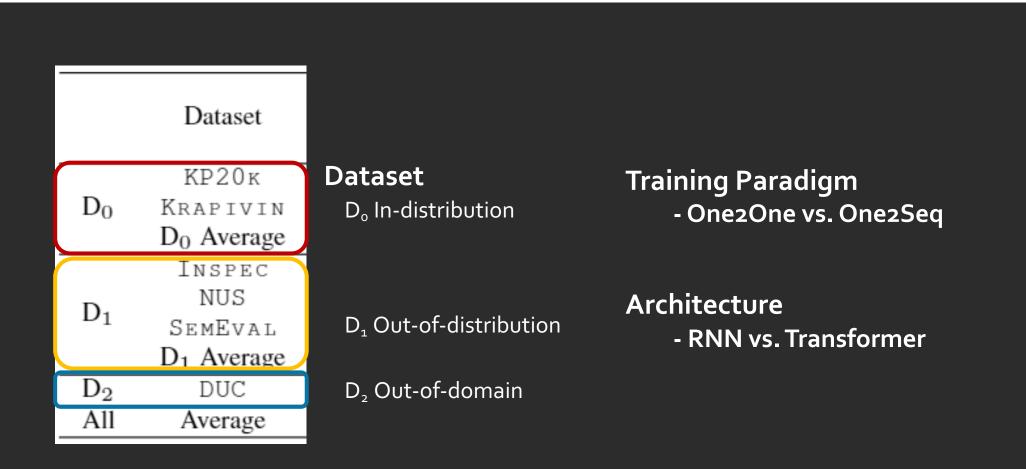
- Q1: How well do KPG models generalize to various testing distributions?
- Q2: Does the order of target keyphrases matter while training One2Seq?
- Q3: Does more training data help? How to better make use of them?
- Q4: Is copy mechanism always helpful for KPG models?
- Q5: What is the effect of beam width?

# **Research Questions**

- Q1: How well do KPG models generalize to various testing distributions?
- Q2: Does the order of target keyphrases matter while training One2Seq?
- Q3: Does more training data help? How to better make use of them?
- Q4: Is copy mechanism always helpful for KPG models?
  - No
- Q5: What is the effect of beam width?
  - For now, the larger the better

Training Paradigm - One2One vs. One2Seq

Architecture RNN vs. Transformer



			Present (	$(F_1 @ O)$		Absent (R@50)					
	Dataset	One	e20ne	One	One2Seq		One20ne		e2Seq		
		RNN	TRANS	RNN	TRANS	RNN	TRANS	RNN	TRANS		
	KP20ĸ	35.3	37.4	31.2	36.2	13.1	22.1	3.2	15.0		
$D_0$	KRAPIVIN	35.5	33.0	33.5	36.4	13.7	23.8	3.3	16.6		
	D <sub>0</sub> Average	35.4	35.2	32.3	36.3	13.4	23.0	3.2	15.8		
	INSPEC	33.7	32.6	38.8	36.9	8.2	9.2	3.7	6.7		
D	NUS	43.4	41.1	39.2	42.3	11.2	18.9	2.9	12.5		
$D_1$	SemEval	35.2	35.1	36.2	34.8	6.1	18.9	1.7	12.5		
	D <sub>1</sub> Average	37.4	36.3	38.1	38.0	8.5	12.7	2.8	9.2		
$D_2$	DUC	13.4	7.8	15.0	11.0	0.0	0.2	0.0	0.0		
All	Average	32.8	31.2	32.3	32.9	8.7	14.0	2.5	9.8		

			Present (	$(\mathbf{F}_1 @ \mathcal{O})$		Absent (R@50)					
	Dataset	One	e20ne	One	e2Seq	One	One20ne		e2Seq		
		RNN	TRANS	RNN	TRANS	RNN	TRANS	RNN	TRANS		
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• **One2Seq** generalizes better on present KPG

			Present (	$(\mathbf{F}_1 @ \mathcal{O})$		Absent (R@50)						
	Dataset	One	e20ne	One	e2Seq	One	e20ne	One	e2Seq			
		RNN TRANS		RNN	TRANS	RNN TRANS		RNN	TRANS			
	KP20ĸ	35.3	37.4	31.2	36.2	13.1	22.1	3.2	15.0			
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	D <sub>0</sub> Average	35.4	35.2	32.3	36.3	13.4	23.0	3.2	15.8			
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$D_2$	DUC	13.4	7.8	15.0	11.0	0.0	0.2	0.0	0.0			
All	Average	32.8	31.2	32.3	32.9	8.7	14.0	2.5	9.8			

• **One2Seq** generalizes better on present KPG, while **One2One** excels at absent KPG.

			Present	$(\mathbf{F}_1 @ \mathcal{O})$		Absent (R@50)					
	Dataset	One2One		One	e2Seq	One	e20ne	One2Seq			
		RNN	RNN TRANS		RNN TRANS		RNN TRANS		TRANS		
	KP20ĸ	35.3 <	37.4	31.2	< 36.2	13.1	22.1	3.2	15.0		
$D_0$	KRAPIVIN	35.5	33.0	33.5	36.4	13.7	23.8	3.3	16.6		
	D <sub>0</sub> Average	35.4	35.2	32.3	36.3	13.4	23.0	3.2	15.8		
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$D_2$	DUC	13.4	7.8	15.0	11.0	0.0	0.2	0.0	0.0		
All	Average	32.8	31.2	32.3	32.9	8.7	< 14.0	2.5	<b>&lt;</b> 9.8		

• **Transformer** fits better on in-distribution data and exhibits much better abstractiveness

			Present	$(\mathbf{F}_1 @ \mathcal{O})$		Absent (R@50)					
	Dataset	One20ne		One	e2Seq	One	e20ne	One	e2Seq		
		RNN	TRANS	RNN	TRANS	RNN	TRANS	RNN	TRANS		
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$D_2$	DUC	13.4	7.8	15.0	11.0	0.0	0.2	0.0	0.0		
All	Average	32.8	31.2	32.3	32.9	8.7	14.0	2.5	9.8		

- **Transformer** fits better on in-distribution data and exhibits much better abstractiveness
- But RNN seems to generalize better on out-of-distribution present KPG

- Training Paradigm: One2One or One2Seq?
- Architecture: RNN vs. Transformer?

- Training Paradigm: One2One or One2Seq?
- Architecture: RNN vs. Transformer?

# • It depends!

- Prefer present? Transformer + One2Seq
- Prefer absent? Transformer + One2One
- Less computational resources? RNN + One2One

[Source] Language-specific Models in Multilingual Topic Tracking. Topic tracking is complicated when the stories in the stream occur in multiple languages.... [Target] <bos> classification <sep> crosslingual <sep> topic tracking <sep> multilingual <eos>

#### For training One2Seq models, we can concatenate target keyphrases in different orders:

- Alpha (A->Z) / Alpha-rev (Z->A)
- Short -> Long / Long -> Short
- Original / Original-rev
- Present-Absent / Absent-Present
- Random

#### [Source Sequence]=title+abstract

Language-specific Models in <u>Multilingual Topic Tracking</u>. Topic tracking is complicated when the stories in the stream occur in multiple languages. Typically, researchers have trained only English topic models because the training stories have been provided in English. In tracking, non-English test stories are then machine translated into English to compare them with the topic models. ...

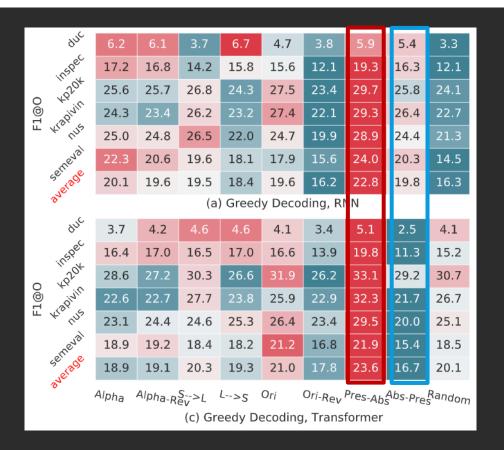
#### [Target Sequence]=keyphrases

[classification, crosslingual, Arabic, TDT, topic tracking, multilingual]

[Present Phrases] topic tracking, multilingual [Absent Phrases] classification, crosslingual, Arabic, TDT

	Random	[Source] Language-specific Models in Multilingual Topic
		<b>[Target]</b> <bos> <u>TDT</u> <sep> <u>multilingual</u> <sep> crosslingual <sep> Arabic <sep> <u>classification</u> <sep> topic tracking</sep></sep></sep></sep></sep></bos>
	Length	[Source] Language-specific Models in Multilingual Topic
l		<b>[Target]</b> <bos> classification <sep> crosslingual <sep> Arabic <sep> TDT <sep> multilingual <sep> <u>topic tracking</u></sep></sep></sep></sep></sep></bos>
	Original	[Source] Language-specific Models in Multilingual Topic
		<b>[Target]</b> <bos> classification <sep> crosslingual <sep> Arabic <sep> TDT <sep> topic tracking <sep> multilingual</sep></sep></sep></sep></sep></bos>
	Alpha	[Source] Language-specific Models in Multilingual Topic
l	•	<b>[Target]</b> <bos> <u>Arabic</u> <sep>classification <sep> crosslingual <sep> multilingual <sep> TDT <sep> topic tracking</sep></sep></sep></sep></sep></bos>
	Abs-Pres	[Source] Language-specific Models in Multilingual Topic
l		[Target] <bos> Arabic <sep> TDT <sep>classification <sep></sep></sep></sep></bos>
		crosslingual <sep> multilingual <sep> topic tracking</sep></sep>
	Pres-Abs	[Source] Language-specific Models in Multilingual Topic
l		[Target] <bos> multilingual <sep> topic tracking <sep> TDT</sep></sep></bos>
		<sep> Arabic <sep> classification <sep> crosslingual</sep></sep></sep>

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• With greedy decoding, target phrase order shows distinct effects on performance (i.e. Pres-Abs >> Abs-Pres).

[Source] Language-specific Models in Multilingual Topic Tracking. Topic tracking is complicated when the stories in the stream occur in multiple languages.... [Target] <bos> classification <sep> crosslingual <sep> topic tracking <sep> multilingual <eos>



• The effect of target ordering diminishes when beam search is performed, especially with large beam size.

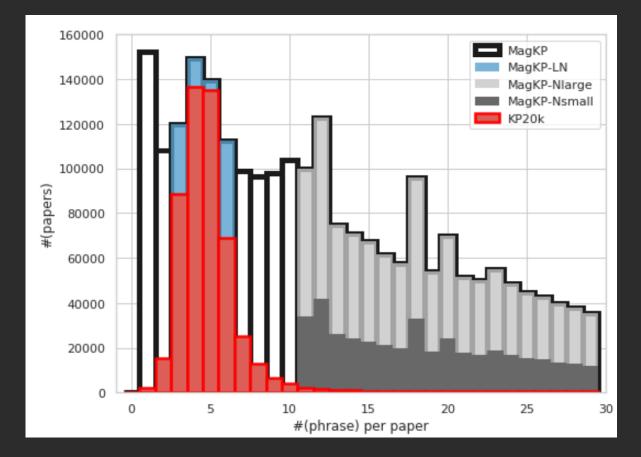
## Microsoft Academic Graph

Established: June 5, 2015

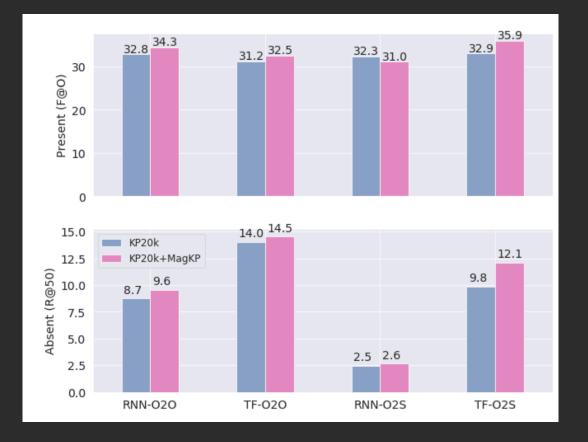
MagKP dataset (2.7M)

5x larger than KP20k (514K)

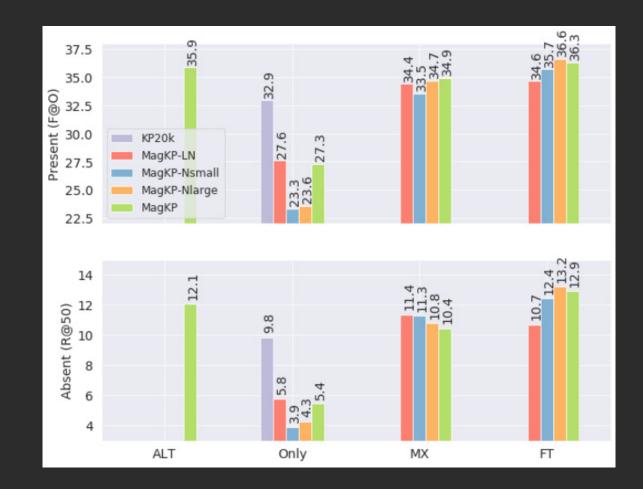
- MagKP is also noisy
- Distribution of MagKP is very different from normal author-keyword datasets e.g. KP20k
  - Authors usually provide 3~10 keyphrases for a paper, μ=5.25 (red-filled bars)
  - MagKP can have up to 100 keyphrases for a paper, μ=15.4 (black-bordered bars)



- Extra data does help
- Transformer + One2Seq achieves SOTA present scores



- Pre-training w/ noisier data performs better.
- The way of mixing noisy/clean data also makes a difference
  - FT (Fine-Tuning) > ALT > MX >> Only
  - Pre-training w/ noisy data and then finetuning w/ clean data can lead to better performance



		Kp20K			Krapivin			Inspec			NUS			SemEval	
Model	5	10	O	5	10	O	5	10	0	5	10	O	5	10	O
One2One variants															
RNN-O2O-KP20k	33.1	27.9	35.3	32.0	27.0	35.5	28.5	32.5	33.7	40.2	35.9	43.4	32.9	34.6	35.2
RNN-O2O-KP20k-nocopy	8.3	8.5	8.4	5.5	5.8	5.0	4.9	5.3	5.3	10.3	10.9	10.4	8.4	8.4	7.5
RNN-O2O-KP20k+MagKP-ALT	32.4	27.4	34.7	32.3	28.1	35.6	32.2	37.6	38.4	40.2	38.2	43.5	35.4	35.4	37.4
BIGRNN-O2O-KP20k	35.5	29.5	38.1	34.2	29.1	38.8	31.0	36.2	37.3	42.6	39.2	45.8	34.4	36.1	37.1
BIGRNN-O2O-magkp20k-ALT	33.1	27.9	35.3	32.3	28.1	36.4	32.2	37.1	37.8	41.2	38.1	44.9	35.6	35.7	36.0
TF-O2O-KP20k	34.5	28.9	37.4	29.5	26.4	33.0	28.0	30.8	32.6	37.6	36.1	41.1	32.9	33.0	35.1
TF-O2O-KP20k-nocopy	28.5	24.0	31.2	24.7	20.8	28.9	16.4	18.1	18.4	33.8	30.2	35.5	25.3	25.9	25.8
TF-O2O-KP20k+MagKP-ALT	33.8	28.2	36.5	32.7	27.6	34.9	28.9	33.5	34.0	39.3	37.8	41.9	33.7	34.8	35.7
One2Seq variants															
RNN-O2S-KP20k	31.2	26.1	31.2	30.9	26.9	33.5	32.8	38.7	38.8	37.3	36.6	39.2	33.5	35.0	36.2
RNN-O2S-KP20k-nocopy	10.4	10.2	11.1	8.1	7.9	9.8	4.4	4.5	4.5	11.0	10.6	11.0	8.8	8.6	8.8
RNN-O2S+KP20k+MagKP-ALT	28.2	23.8	28.2	28.0	25.8	30.6	32.9	40.3	39.9	35.1	33.2	36.4	30.6	33.1	34.0
BIGRNN-O2S-KP20k	30.2	25.7	30.4	29.8	26.4	32.4	31.6	37.5	38.1	37.4	35.7	39.7	32.5	33.7	35.3
BIGRNN-O2S-KP20k+MagKP-ALT	28.2	23.7	28.2	28.9	25.6	30.9	34.9	41.1	40.1	35.9	34.3	37.6	32.0	33.8	34.8
TF-O2S-KP20k	34.6	29.0	36.2	32.4	28.1	36.4	31.5	36.6	36.9	40.1	37.3	42.3	33.9	34.2	34.8
TF-O2S-KP20k-nocopy	32.3	29.0	33.9	28.5	25.1	31.5	23.2	24.6	25.3	36.9	34.5	37.5	27.4	28.4	29.5
TF-O2S-KP20k+MagKP-ALT	36.8	30.2	37.7	35.2	29.9	37.6	32.2	38.8	39.4	41.8	39.2	44.1	35.6	36.5	38.7
TRANS+One2Seq															
MagKP-LN-ONLY	28.1	25.1	28.0	27.8	26.4	28.7	29.6	34.3	34.3	33.5	34.0	34.9	28.9	30.3	30.2
MagKP-Nsmall-ONLY	20.8	19.8	20.9	25.2	24.3	26.0	30.8	34.0	33.9	26.2	27.0	27.0	24.1	26.2	24.8
MagKP-Nlarge-ONLY	20.4	19.6	21.1	24.8	23.5	25.6	32.6	36.2	36.1	26.0	26.6	28.1	21.4	25.0	23.3
MagKP-ONLY	25.3	22.3	25.5	26.2	25.1	28.0	31.3	38.7	37.2	29.9	31.0	31.3	26.5	30.3	29.5
MagKP-LN-MX	35.5	29.3	36.9	34.2	28.6	37.9	31.5	38.0	37.8	41.7	38.7	44.6	32.7	35.0	34.5
MagKP-Nsmall-MX	35.3	29.2	36.5	34.1	28.7	37.0	31.6	38.2	37.2	40.6	38.5	42.6	33.4	36.2	35.5
MagKP-Nlarge-MX	36.3	<b>30.0</b>	37.1	34.9	29.7	36.9	31.8	37.8	38.3	41.9	39.5	44.8	34.4	35.2	37.6
MagKP-MX	36.3	29.8	37.4	35.0	30.0	37.3	32.0	39.8	38.7	41.4	39.8	44.6	34.8	36.7	36.9
MagKP-LN-FT	36.2	30.1	37.7	34.9	29.6	36.2	32.1	38.0	38.2	41.4	39.4	44.9	35.4	36.2	36.8
MagKP-Nsmall-FT	36.4		37.7	35.7	30.5	39.4	32.6	38.4	38.8	43.0	39.5	45.6	34.9	35.6	37.4
MagKP-Nlarge-FT	37.0		37.9	36.6	30.6	38.9	33.3	39.5	39.8	44.0	40.2	47.9	34.3	36.4	35.9
MagKP-FT	37.1		38.3	36.1	30.6	38.4	32.4	38.1	38.5	43.9	40.1	46.0	36.6	37.0	39.2
Abstractive Neural Generati	on														
SotaMax	36.0	29.8	35.7	32.9	28.5	37.1	29.6	35.7	33.1	37.6	36.6	40.6	32.7	35.2	35.7

# Conclusion

- Basic settings are critical
  - Our study provides a guideline on how to choose such settings

- Open questions
  - More efficient KPG inference
  - Mitigate the effect of phrase ordering
  - Better way utilizing large and noisy data

# Thank you!

arXiv: 2009.10229 Code & Data: https://github.com/memray/OpenNMT-kpg-release

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